## Q3500.015334.

### **PATENT APPLICATION**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:	)	
,	:	Examiner: Kabari Guharay
KAZUYA ISHIWATA ET AL.	)	
	•	Group Art Unit: 2879
Application No.: 09/846,364	)	
	:	
Filed: May 2, 2001	)	
	:	
For: A SUBSTRATE STRUCTURE	)	
WHICH IS A PRECURSOR TO AN	:	
ELECTRON SOURCE, AND	)	
HAVING A SUBSTRATE AND AN	:	
INSULATING MATERIAL LAYER	)	
WITH METAL OXIDE PARTICLES	:	
(As Amended)	)	March 1, 2004
Mail Ston DCE		

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 1, 2004.

(Date of Deposit)

FRANK A. DeLUCIA (REG. NO. 42,476)

(Name of Attorney for Applicant)

Date of Signature

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directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed, as is a copy of a Japanese Official Letter citing some of them, issued in a foreign counterpart application.

For the concise explanation of relevance for non-English document,
3135118, the Examiner is respectfully referred counterpart European Patent Application EP
1 003 197 A2.

For the concise explanation of relevance for non-English document 2630988, the Examiner is respectfully referred to counterpart U.S. Patent 4,954,744.

For the concise explanation of relevance for non-English document 1-298624, the Examiner is respectfully referred to the English Abstract attached thereto and to counterpart U.S. Patent 4,954,744.

For the concise explanation of relevance of non-English document 2646326 (no copy presently available), the Examiner is respectfully referred to counterpart U.S. Patent 5,286,503.

For the concise explanation of relevance for the remaining non-English documents, the Examiner is referred to the corresponding English Abstracts attached thereto.

#### <u>CONCLUSION</u>

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicants

Registration No.

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FORM PTO 1449 (modified)			ATTY DOCKET NO. 03500.015334.		APPLICATION NO.	09/846,364	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OF PICE P		APPLICANT  KAZUYA ISHIWATA ET AL.					
LIST OF REFERENCES CITED BY APPLICANT(S)  (Use several sheets if necessary)  MAR 0 4 2004		FILING DATE			GROUP		
Date Submitted to PTO:[DATE]		MAY 2, 2001			2879		
	T Ch	34	U.S. PATENT DOCUMENTS	Γ		1	·
*EXAMINER INITIAL	DOCUMENT A SESSION NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,954,744	9/90	Suzuki et al.		313	336	
	5,286,503	2/94	Kupcikevicius		426	110	
		T	FOREIGN PATENT DOCUMEN	TS		,	<b>.</b>
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	3135118	8/00	JAPAN		·		
	EP 1 003 197 A2	5/00	EPO		· · · · · · · · · · · · · · · · ·		
	2630988	12/89	JAPAN		-		
·	2630983	11/89	JAPAN				ABSTRACT
	2000-243225	9/00	JAPAN				ABSTRACT
	2000-82384	3/00	JAPAN				ABSTRACT
	1-279540	11/89	JAPAN		V		ABSTRACT
	1-279538	11/89	JAPAN				ABSTRACT
	2646326	8/97	JAPAN				
	1-298624	12/89	JAPAN		9440.00 · · · · · · · · · · · · · · · · · ·		ABSTRACT
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER	•		DATE CONSIDERED				

Sheet<u>1</u> of <u>1</u>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.